

FORM PTO-1449

U.S. Dept. of Commerce  
Patent and Trademark Office

Atty Docket No.

P1467R2

Serial No.

09/602,812

Applicant

Adams et al.

Filing Date

23 Jun 2000

Group

To Be Assigned

## LIST OF DISCLOSURES CITED BY APPLICANT

(Use several sheets if necessary)

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Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
AL	116	4,753,894	28.06.88	Frankel et al.			
	117	4,935,341	19.06.90	Bargmann et al.			
	118	4,943,533	24.07.90	Mendelsohn et al.			
	119	4,975,278	04.12.90	Senter et al.			
	120	5,169,774	08.12.92	Frankel et al.			
	121	5,288,477	22.02.94	Bacus, S.			
	122	5,359,046	25.10.94	Capon et al.			
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	125	5,464,751	07.11.95	Greene et al.			
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Examiner

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12/29/03

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	155	5,977,322	02.11.99	Marks et al.			
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	161	6,096,873	01.08.00	Schaefer et al.			
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Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation Yes No
12/17 ↓	164	0,616,812 A1	28.09.94	EPO			
	165	711,565	26.08.98	EPO			
	166	2,761,543B2	04.06.98	JAPAN (TRANSLATION ATTACHED)			
	167	2,895,105B2	24.05.99	JAPAN (ENGLISH ABSTRACT AND CLAIMS)			
	168	3-240498	25.10.91	JAPAN (ENGLISH ABSTRACT ATTACHED)			
	169	5-117165	14.05.93	JAPAN (ENGLISH ABSTRACT ATTACHED)			
	170	5-170667	09.07.93	JAPAN (ENGLISH ABSTRACT ATTACHED)			
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	183	WO 95/17507	29.06.95	PCT			
	184	WO 95/28485	26.10.95	PCT			

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*Amie Hill*

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							Yes	No
A.H ↓ ✓	185	WO 96/18409	20.06.96	PCT				
	186	WO 97/04801	13.02.97	PCT				
	187	WO 97/20858	12.06.97	PCT				
	188	WO 97/27848	07.08.97	PCT				
	189	WO 97/38731	23.10.97	PCT				
	190	WO 98/45479	15.10.98	PCT				
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193	Baselga et al., "HER2 overexpression and paclitaxel sensitivity in breast cancer: therapeutic implications" <u>Oncology</u> 11(3 Suppl 2):43-48 (Mar 1997)
194	Baselga et al., "Monoclonal antibodies directed against growth factor receptors enhance the efficacy of chemotherapeutic agents" <u>Annals of Oncology</u> (abstract #010) 5(Suppl. 5) (1994)
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196	De Santes et al., "Radiolabeled Antibody Targeting of the HER-2/neu Oncoprotein" <u>Cancer Research</u> 52:1916-1923 (1992)
197	DiFiore et al., "erbB-2 is a potent oncogene when overexpressed in NIH/3T3 cells" <u>Science</u> 237(4811):178-182 (Jul 10, 1987)
198	Drebin et al., "Inhibition of tumor growth by a monoclonal antibody reactive with an oncogene-encoded tumor antigen" <u>Proc. Natl. Acad. Sci.</u> 83:9129-9133 (1986)
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201	Gemzar (gemcitabine HCL), "Product Information - PDR" (2000)
202	Green et al., "Preclinical Evaluation of WR-151327: An Orally Active Chemotherapy Protector" <u>Cancer Research</u> 54(3):738-741 (Feb 1, 1994)
203	Guy et al., "Expression of the neu protooncogene in the mammary epithelium of transgenic mice induces metastatic disease" <u>Proc. Natl. Acad. Sci. USA</u> 89(22):10578-10582 (Nov 15, 1992)
204	Hynes and Stern, "The biology of erbB-2/neu/HER-2 and its role in cancer" <u>Biochimica et Biophysica Acta</u> 1198(2-3):165-184 (Dec 30, 1994)
205	Ilgen et al., "Characterization of anti-HER/2 antibodies which inhibit the growth of breast tumor cells in vitro" <u>Proceedings of the American Association for Cancer Research</u> (abstract #3209) 37:470 (Mar 1996)
206	Masuko et al., "A murine Monoclonal Antibody That Recognizes an Extracellular Domain of the Human c-erbB-2 Protooncogene Product" <u>Jpn J. Cancer Res.</u> 80:10-14 (January 1989)

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## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, etc.)

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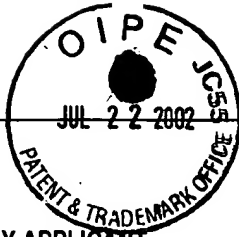
Anne L. Hillman

Date Considered \_\_\_\_\_

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1642

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Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
RLH	225	6,417,168	09.07.02	Greene et al.			

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Examiner

Anne C. Holleran

Date Considered

Oct 21, 2002

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ALH	238	5,514,554	07.05.96	Bacus, S.			
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ALH	256	444,181	31.10.01	EPO			
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ALH	258	502,812	14.08.96	EPO			
ALH	259	554,441	27.01.99	EPO			
ALH	260	656,367	07.06.95	EPO			
ALH	261	WO 01/05425	25.01.01	PCT			
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ALH	267	WO 89/10412	02.11.89	PCT			
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Examiner

*Anne L. Holliman*

Date Considered

11/19/03

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ALH	270	WO 93/03741	04.03.93	PCT		
ALH	271	WO 93/16185	19.08.93	PCT		
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ALH	274	WO 96/40789	19.12.96	PCT		
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ALH	276	WO 98/02463	22.01.98	PCT		
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Examiner <i>Ann C. Sullivan</i>	Date Considered 11/17/03
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Examiner

Anne L. Holleran

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